

<b>General information about company</b>	
Scrip code	512299
NSE Symbol	STERLINBIO
MSEI Symbol	
ISIN	INE324C01038
Name of the entity	STERLING BIOTECH LIMITED
Date of start of financial year	01-04-2017
Date of end of financial year	31-03-2018
Reporting Quarter	Half Yearly
Date of Report	30-09-2017
Risk management committee	Not Applicable

## Annexure I

### Annexure I to be submitted by listed entity on quarterly basis

#### I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory												Textual Information(1)			
Is there any change in information of board of directors compare to previous quarter												Yes			
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Chetan Sandesara	ANIPS6175K	00255671	Executive Director	Not Applicable		15-05-1995			1	0	0		
2	Mr	Nitin Sandesara	AGDPS6471N	00255496	Executive Director	Chairperson		08-01-1991			1	0	0		
3	Mr	Priyadarshanbhai Mehta	AAYPM2209B	01392227	Non-Executive - Independent Director	Not Applicable		01-05-1993		293	1	2	0		
4	Mr	Rajbhushan Dixit	AACPD9215C	00025484	Non-Executive - Independent Director	Not Applicable		15-05-1995		269	1	2	2		

## Annexure I

### Annexure I to be submitted by listed entity on quarterly basis

#### I. Composition of Board of Directors

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	Vilas Joshi	AAAPJ5714A	00507833	Non-Executive - Independent Director	Not Applicable		01-05-1993	28-09-2017	293	1	2	0		
6	Mrs	Mayuriben Patel	ADLPP3199F	00090549	Non-Executive - Independent Director	Not Applicable		25-03-2015		30	1	0	0		

<b>Text Block</b>	
Textual Information(1)	<p>1. Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017as per Master Data reflected on MCA Website)</p> <p>This information pertains to number of directorship and Membership/Chairmanship held in Sterling Biotech Limited only.</p>

<b>Annexure 1</b>	
<b>II. Composition of Committees</b>	
Disclosure of notes on composition of committees explanatory	Textual Information(1)
Is there any change in information of committees compare to previous quarter	Yes

**Annexure 1 Text Block**

Textual Information(1)

Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017 as per Master Data reflected on MCA Website)

<b>Audit Committee Details</b>					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	01392227	Priyadarshanbhai Mehta	Non-Executive - Independent Director	Member	
2	00025484	Rajbhushan Dixit	Non-Executive - Independent Director	Chairperson	
3	00507833	Vilas Joshi	Non-Executive - Independent Director	Member	Textual Information(1)

**Audit Committee Details Text Block**

Textual Information(1)

Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017 as per Master Data reflected on MCA Website)



<b>Nomination and remuneration committee</b>					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	01392227	Priyadarshanbhai Mehta	Non-Executive - Independent Director	Member	
2	00025484	Rajbhushan Dixit	Non-Executive - Independent Director	Chairperson	
3	00507833	Vilas Joshi	Non-Executive - Independent Director	Member	Textual Information(1)

<b>Nomination and remuneration committee Text Block</b>	
Textual Information(1)	Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017 as per Master Data reflected on MCA Website)

<b>Stakeholders Relationship Committee</b>					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	01392227	Priyadarshanbhai Mehta	Non-Executive - Independent Director	Member	
2	00025484	Rajbhushan Dixit	Non-Executive - Independent Director	Chairperson	
3	00507833	Vilas Joshi	Non-Executive - Independent Director	Member	Textual Information(1)

<b>Stakeholders Relationship Committee Text Block</b>	
Textual Information(1)	Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017 as per Master Data reflected on MCA Website)

<b>Risk Management Committee</b>					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

<b>Corporate Social Responsibility Committee</b>					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

**Annexure 1**

**Annexure 1**

**III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory		Textual Information(1)	
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	13-04-2017		
2	15-05-2017		31
3	30-05-2017		14
4	22-06-2017		22
5		26-07-2017	33
6		12-08-2017	16
7		28-08-2017	15



<b>Text Block</b>	
Textual Information(1)	<p>Search and Seizure operations of the company was conducted by Directorate of Enforcement on 5th August 2017 and due to some unforeseen circumstances, although the notice of the 31st AGM which was scheduled to be held on 30th September 2017 was duly sent, the AGM could not be held on the scheduled date and therefore Statutory Auditors' appointment for the Financial year 2017-18 could not be completed.</p> <p>The unforeseen Circumstances continued during the quarter and due to the same unforeseen circumstances, no meeting of the Board of Directors and Committees was held after 28th August, 2017.</p>

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory							Textual Information(1)	Name of other committee
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)		
1	Audit Committee	12-08-2017	Yes	2	30-05-2017	73		

<b>Text Block</b>	
Textual Information(1)	<p>Search and Seizure operations of the company was conducted by Directorate of Enforcement on 5th August 2017 and due to some unforeseen circumstances, although the notice of the 31st AGM which was scheduled to be held on 30th September 2017 was duly sent, the AGM could not be held on the scheduled date and therefore Statutory Auditors' appointment for the Financial year 2017-18 could not be completed.</p> <p>The unforeseen Circumstances continued during the quarter and due to the same unforeseen circumstances, no meeting of the Board of Directors and Committees was held after 28th August, 2017.</p>

**Annexure 1**

**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

## Annexure 1

### VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	No
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	No
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	No
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	No
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	No
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	No
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

**Annexure 1**

Sr	Subject	Compliance status
1	Name of signatory	Rajbhusan Dixit With Authorisation of Sundaresh Bhat Resolution Professional
2	Designation	Director

<b>Text Block</b>	
Textual Information(1)	<p>Company is unable to comply with the SEBI Regulations within the prescribed time due to following reasons:</p> <p>Search Seizure operations of the Company was conducted by the Directorate of Enforcement on 5th August, 2017 and due to some unforeseen circumstances, although the Notice of the 31st AGM which was scheduled to be held on 30th September, 2017 was duly sent, the AGM could not be held on the scheduled date and therefore Statutory Auditors appointment for the financial year 2017-18 could not be completed.</p> <p>Mr. Vilas Dattatray Joshi Independent Director (Resigned w.e.f. 28-09-2017 as per Master Data reflected on MCA Website)</p>

**Annexure III**

**Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year**

**I. Affirmations**

Sr	Broad heading	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	No	As per note
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	As per note
4	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
	Any other information to be provided		Textual Information(1)	



**Text Block**

Textual Information(1)

Company is unable to comply with the SEBI Regulations within the prescribed time due to following reason:

Search Seizure operations of the Company was conducted by the Directorate of Enforcement on 5th August, 2017 and due to some unforeseen circumstances, although the Notice of the 31st AGM which was scheduled to be held on 30th September, 2017 was duly sent, the AGM could not be held on the scheduled date and therefore Statutory Auditors appointment for the financial year 2017-18 could not be completed.

**Annexure III**

<b>Annexure III</b>		
1	Name of signatory	Rajbhusan Dixit With Authorisation of Sundaresh Bhat Resolution Professional
2	Designation	Director

**Signatory Details**

Name of signatory	Rajbhusan Dixit With Authorisation of Sundaresh Bhat Resolution Professional
Designation of person	Director
Place	Mumbai
Date	24-11-2018

